

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re U.S. Patent No. 6,502,135

Serial No. 09/504,783

Inventors: Edmund Colby MUNGER *et al.*

Filed: February 15, 2000

Issue Date: December 31, 2002

Attorney Docket No. 000479.85672

For: AGILE NETWORK PROTOCOL FOR SECURE COMMUNICATIONS WITH
ASSURED SYSTEM AVAILABILITY

REQUEST FOR CERTIFICATE OF CORRECTION

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

Certificate
APR 16 2003
of Correction

Sir:

Pursuant to 35 U.S.C. § 254 and 37 C.F.R. § 1.322, this is a request for the issuance of a Certificate of Correction in the above-identified patent. Two (2) copies of PTO Form 1050 are appended. The complete Certificate of Correction involves one (1) page.

The mistakes identified in the appended Form occurred through no fault of the Applicants, as clearly disclosed by the records of the application, which matured into this patent. Enclosed for your convenience are the relevant portions of the Information Disclosure Statements dated considered October 7, 2002, and November 7, 2002.

Issuance of the Certificate of Correction containing the corrections is respectfully requested. Since these changes are necessitated through no fault of the Applicants, no fee is believed to be associated with this request. Nonetheless, should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

Date: 4/10/03

By: Ross A. Dannenberg
Ross A. Dannenberg
Reg. No. 49,024

Banner & Witcoff
1001 G Street, N.W., 11th Floor
Washington, D.C. 20001
(202) 508-9100

APR 16 2003

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,502,135 *B1*
DATED : December 31, 2002
INVENTOR(S) : Edmund Colby Munger *et al.*

Page 1 of 1

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page,

Item [56] References Cited, Other Publications, *insert the following:*

--Search Report (dated 8/20/02), International Application No. PCT/US01/04340

Search Report (dated 8/23/02), International Application No. PCT/US01/13260

James E. Bellaire, "New Statement of Rules - Naming Internet Domains",
Internet Newsgroup, July 30, 1995, 1 page.

D. Clark, "US Calls for Private Domain-Name System", Computer, IEEE Computer Society, August 1, 1998, pages 22-25.

August Bequai, "Balancing Legal Concerns Over Crime and Security in Cyberspace",
Computer & Security, Vol. 17, No. 4, 1998, pages 293-298.

Rich Winkel, "CAQ: Networking With Spooks: The NET & The Control Of
Information", Internet Newsgroup, June 21, 1997, 4 pages. -- ~~has been inserted~~

Column 48,

Line 2, "VPN target computer" has been replaced with --VPN with the target computer--.

Mailing Address of Sender:

Banner & Witcoff, Ltd.
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U.S. PAT. NO 6,502,135

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UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 6,502,135
DATED : December 31, 2002
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Page 1 of 1

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Search Report (dated 8/23/02), International Application No. PCT/US01/13260

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Information", Internet Newsgroup, June 21, 1997, 4 pages.-- has been inserted.

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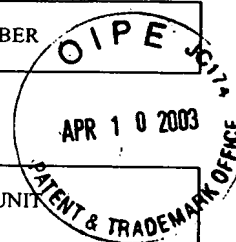
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PTO-1449 (Modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT	ATTY. DOCKET NO. 000479.85672	SERIAL NUMBER 09/504,783
	APPLICANT Edmond Colby Munger et al.	
	FILING DATE February 15, 2000	GROUP ART UNIT 2153



U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
K	0 858 189	8/12/98	EPO	RECEIVED SEP 19 2002 Technology Center 2100		
↓	WO 01 50688	7/12/01	PCT			
	WO 98 59470	12/30/98	PCT			
	WO 99 48303	9/23/99	PCT			
↓	WO 99 38081	7/29/99	PCT			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

K	Search Report (dated 8/20/02), International Application No. PCT/US01/04340
K	Shree Murthy et al., "Congestion-Oriented Shortest Multipath Routing", Proceedings of IEEE INFOCOM, 1996, pages 1028-1036
K	Jim Jones et al., "Distributed Denial of Service Attacks: Defenses", Global Integrity Corporation, 2000, pages 1-14

EXAMINER <i>KRISNA Lim</i>	DATE CONSIDERED <i>11/7/02</i>
EXAMINER: Initial citation if reference was considered. Draw line through citation if not in conformance to MPEP 609 and not considered. Include copy of this form with next communication to applicant.	

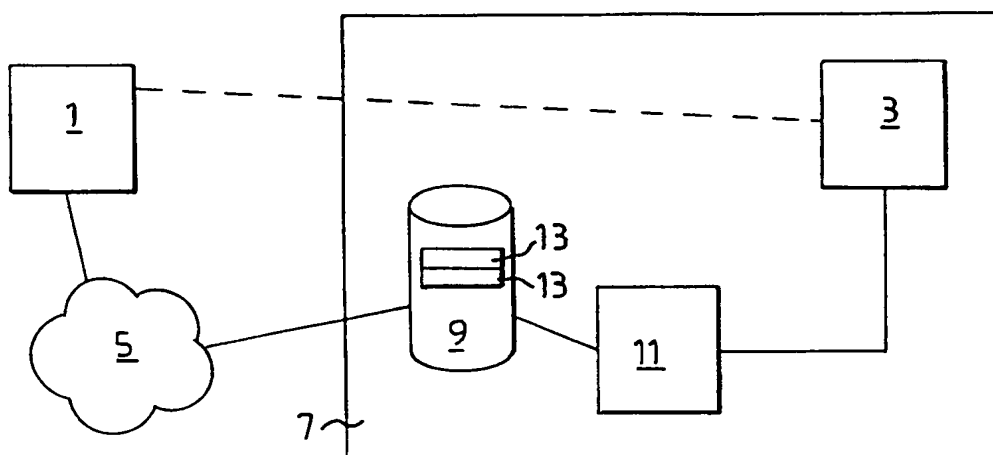
IDS w/1449 form filed: September 12, 2002



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : H04L 12/56, 29/02		A3	(11) International Publication Number: WO 98/59470
			(43) International Publication Date: 30 December 1998 (30.12.98)
(21) International Application Number: PCT/SE98/01217 (22) International Filing Date: 23 June 1998 (23.06.98) (30) Priority Data: 9702385-7 23 June 1997 (23.06.97) SE (71) Applicants (for all designated States except US): TELEFON- AKTIEBOLAGET LM ERICSSON (publ) [SE/SE]; S-126 25 Stockholm (SE). TELIA AB [SE/SE]; S-123 86 Farsta (SE). (72) Inventors; and (75) Inventors/Applicants (for US only): KANTER, Theo [NL/SE]; Rönninge skolväg 35E, S-144 62 Rönninge (SE). FOGEL- HOLM, Rabbe [SE/SE]; Turevågen 54 B, S-191 47 Sollen- tuna (SE). (74) Agents: HERBJØRNSSEN, Rut et al., Albhns Patentbyrå Stockholm AB, P.O. Box 3137, S-103 62 Stockholm (SE).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims</i> <i>and to be republished in the event of the receipt of amendments.</i> (88) Date of publication of the international search report: 18 March 1999 (18.03.99)	

(54) Title: METHOD AND APPARATUS TO ENABLE A FIRST SUBSCRIBER IN A LARGER NETWORK TO RETRIEVE THE ADDRESS OF A SECOND SUBSCRIBER IN A VIRTUAL PRIVATE NETWORK



(57) Abstract

The present invention relates to an apparatus and a method for use in a virtual private network, VPN, (7, 7'), or a network domain forming part of a larger network, such as the Internet, to enable a first subscriber (1; 1') in the larger network to retrieve the address of a second subscriber (3; 3') in the VPN. The address may be returned to the first subscriber (1; 1') or a connection means (11) may set up the connection between the subscribers (1, 3; 1', 3') automatically.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
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EE	Estonia	LR	Liberia	SG	Singapore		

INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 98/01217

A. CLASSIFICATION OF SUBJECT MATTER		
IPC6: H04L 12/56, H04L 29/02 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols)		
IPC6: H04L		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
SE,DK,FI,NO classes as above		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
WPIL, EDOC, JAPIO		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	ITU-T Recommendation H. 323, 1996, "Visual telephone systems and equipment for local area networks which provide a non- guaranteed quality of service" Paragraph 6.4, 3.41, 3.43	4-6
Y	--	1-3,7-12
Y	IETF RFC 883, Volume, November 1983, P. Mockapetris, "DOMAIN NAMES - IMPLEMENTATION and SPECIFICATION" page 23	1-3,7-12
A	IETF RFC 1383, Volume, December 1992, C. Huitema, "An Experiment in DNS Based IP Routing", paragraph 2	1-12
<input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "I" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search		Date of mailing of the international search report
12 January 1999		22 -01- 1999
Name and mailing address of the ISA/ Swedish Patent Office Box 5055, S-102 42 STOCKHOLM Facsimile No. +46 8 666 02 86		Authorized officer Christina Halldin Telephone No. +46 8 782 25 00

Form PCT/ISA/210 (second sheet) (July 1992)

2
INTERNATIONAL SEARCH REPORT

International application No.
PCT/SE 98/01217

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	IETF RFC 2052, Volume, October 1996, A. Gulbrandsen et al, "A DNS RR for specifying the location of services (DNS SRV)", see the whole document --	1-12
A	EP 0752674 A1 (SUN MICROSYSEMS, INC.), 8 January 1997 (08.01.97), abstract -- -----	1-12

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

Information on patent family members

01/12/98

International application No.

PCT/SE 98/01217

Form PCT/ISA/210 (patent family annex) (July 1992)

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

To:
BANNER & WITCOFF, LTD.
Attn. Wright, Bradley C.
1001 G Street, N.W.
Eleventh Floor
Washington, DC 20001-4597
UNITED STATES OF AMERICA

NOTIFICATION OF TRANSMITTAL OF
THE INTERNATIONAL SEARCH REPORT
OR THE DECLARATION

(PCT Rule 44.1)

00479.00029
RECEIVED

AUG 27 2002

BANNER

Date of mailing
(day/month/year)

20/08/2002

Applicant's or agent's file reference

00479.00029

FOR FURTHER ACTION

See paragraphs 1 and 4 below

International application No.

PCT/US 01/ 04340

International filing date
(day/month/year)

12/02/2001

Applicant

SCIENCE APPLICATIONS INTERNATIONAL CORPORATION

1. ☒ The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

When? The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.

Where? Directly to the International Bureau of WIPO
34, chemin des Colombettes
1211 Geneva 20, Switzerland
Facsimile No.: (41-22) 740.14.35

For more detailed instructions, see the notes on the accompanying sheet.

2. ☐ The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

3. ☐ With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

4. **Further action(s):** The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).

Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority



European Patent Office, P.B. 5818 Patentlaan 2
NL-2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Claude Berthon

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

What documents must accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

"Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further information, see the Notes to the demand form (PCT/IPEA/401).

Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.